

**Principal Questionnaire – SCH-PRINC**

(To be obtained from the Principal's school records)

**Questionnaire Identification**

	Questions	Codes / Units	Responses
1	Name of Enumerator	[Based on Regions]	
2	Date of interview	Day / Month / Year	/ / 2005
3	Starting time of interview		

**A. Respondent Background**

	Questions	Codes / Units	Responses
4	Name of Principal		
4a	If respondent was not the principal, with whom did you speak?	[code 0 if principal]  1=School Accountant 2=Education Manager 3=Teacher  [code 0 if principal]  Please explain why the principal was not present.	0  1 2 3
6	Telephone number of school		

**B. General School Information**

	Questions	Codes / Units	Responses
7	Name of school	See school code sheet	
8	Location of school	1=Ulaanbaatar 2=Aimag center 3= Soum center	
9	Complex school	1=yes 2=no	
10	Grades offered at school	1=Type 8 (Grades 1-8) 2=Type 10 (Grades 1-10)  Note: End interview if no 8 <sup>th</sup> grade is available	

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**C. School Statistics**

	Questions	Codes / Units	Responses
11	What was the total number of students at the beginning of the school year? (September 2004)	Please insert number	
11 a	Of these, how many were girls?	Please insert number	
11 b	How many students in your school are considered "poor children"?	Please insert number	
11 c	How many students in your school are children from herder families?	Please insert number	
12	What was the total enrollment of 8 <sup>th</sup> grade students at the beginning of the school year? (September 2004)	Please insert number	
12 a	Of these, how many were girls?	Please insert number	
13	How many administrative staff did your school have at the beginning of the school year? (principal, education managers, social workers)	Please insert number	
14	What was the total number of executive staff or teachers at the beginning of the school year? (September 2004)	Please insert number	
14 a	Of these, how many are course teachers?	Please insert number	
14 b	How many are regular teachers?	Please insert number	
14 c	How many are methodologists?	Please insert number	
14 d	How many are lead teachers?	Please insert number	
14 e	How many are advisors?	Please insert number	
15 e	How many support staff did your school have at the beginning of the school year?	Please insert number	

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16	Does your school have a dormitory?	1=Yes 2=No, Please go to question 32	
16 a	How many spaces does your dormitory officially have?	Please insert number	
16 b	How many of these spaces are actually used?	Please insert number	
17	How many shifts are taught in your school day?	1 = 1 shift [go to Q 18] 2 = 2 shifts 3 = 3 shifts 4 = Other (Please specify)	
17 a	Does having an additional shift have an economic impact on the school budget?	1=Allows the school to save money 2=Forces school to spend more money 3=No impact	
18	How long is one shift?	Please insert number	... hours ... minutes

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### D. Funding and Accounts

19	Does your school receive any grants or donations from international and/or national projects and donors this year?	1=Yes 2=No, Please go to question 20	
19 a	If yes, how much did you receive for this school year?	Please list amount	
19 b	If yes, what was this money used for this school year?	1=Dormitory 2=Maintenance and repairs 3=New buildings 4=Libraries 5=Equipment for schools (e.g. computers, labs, etc.) 6=Professional development of teachers 7=Other (Please specify)	
19 c	If yes, how is the money usually transferred from international and/or national projects and donors?	1=Cash 2=Transfer to general school account 3=Transfer to special school account for donations 4=Other (Please specify)	
20	Does your school have a School Development Fund composed of your own generated income?	1=Yes 2=No, Please go to question 21	
20 a	How much money is in your School Development Fund?	Please insert amount	...tugriks
20 b	From what sources do you get your School Development Fund? [Multiple responses possible]	1=Parental donations 2=Savings from the budget 3=Income generating activities 4=Other donations (Please specify)	
20 c	How do you use this School Development Fund? Please select the 3 most common uses.	1=Professional development of teachers 2=Transportation 3=To purchase awards or prizes 4=Family emergencies 5=Social events for the school 6=Physical improvements to school or dormitory 7=Other (Please specify)	
21	How many accounts does your school have in the bank? [Multiple responses possible]	1=School Development Fund account 2=Teacher salary loan account 3=Income generation account 4=Donations account 5=Maintenance account 6=Fixed cost account 7=Emergency funds account 8=Temporary account	

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		9=Only one account	
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### E. Savings and Shortcomings

22	What do you do with the savings or other surplus at the end of the month (e.g., savings from salary deductions, money from income generating activities, etc.)?	Multiple responses possible:  1= Roll over to the next month's budget 2= List it as income/revenue in the budget 3= This situation (i.e., having a surplus) has never occurred 4= Put it in a special account 5= Spend it for other school purposes 6= Keep it for future emergency purposes 7= Other (please specify)	
23	Does your school apply the new system of outcomes-based teacher evaluation (using benchmarks and teacher score cards)?	1=yes 2=no (continue with 24)	
23 a	Have you introduced outcomes-based salary additions/awards?	1=yes 2=no (continue with 24)	
23 b	Are you able to pay for these salary additions/awards from your regular staff budget?	1= yes (continue with 24) 2= no	
23 c	If these salary additions/awards cannot be paid from your regular staff budget, how do you pay for them?	1= donations 2= salary or bonus deductions from other teachers 3= savings from various budget lines 4= other (please specify)	

### F. Assessment of Current Financial System

	Questions	Responses	
24	How does the annual calculation of variable costs (depending on student numbers) impact	1= has a very positive impact (go to 24a) 2= has a somewhat positive impact (go to 24a) 3= neutral (go to 26) 4= has a somewhat negative impact (go	

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	your school?	to 24b) 5= has a very negative impact (go to 24b)	
24 a	Why does the variable cost formula have a positive impact on your school?	Please note:	
24 b	Why does the variable cost formula have a negative impact on your school?	Please note:	
25	How does the annual calculation of fixed costs impact your school?	1= has a very positive impact (go to 25a) 2= has a somewhat positive impact (go to 25a) 3= neutral (go to 26) 4= has a somewhat negative impact (go to 25b) 5= has a very negative impact (go to 25b)	
25 a	Why does the fixed cost formula have a positive impact on your school?	Please note:	
25 b	Why does the fixed cost formula have a negative impact on your school?	Please note:	
26	Was the approved budget much different from the budget that you initially submitted?	1= the approved budget was much lower 2= the approved budget was slightly lower 3= the approved budget was slightly higher (go to 27) 4= the approved budget was much higher (go to 27) ... than the budget which we originally submitted	
26 a	Which were the main areas where you had to make budget cuts?	1= staff expenses 2= teaching material (stationary, books, journals) 3= repairs and maintenance 4= purchase of new equipment 5= heating, electricity, water, sewage 6= other, please specify: .....	
27	What do you think of the current budget submission and approval system through the Education and Culture Departments and Ministry of Education?	1= much better than it used to be 2= slightly better than it used to be 3= neutral 4= slightly worse, needs improvement 5= much worse, needs major improvement	

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28	What do you think of the current money transfer system through the Ministry of Finance and the treasury offices?	1= much better than it used to be 2= slightly better than it used to be 3= neutral 4= slightly worse, needs improvement 5= much worse, needs major improvement	
29	What do you think of the current auditing system by the external agency ... [Gerle, please insert name of agency]?	Please note	
30	Were there any important questions missing in this interview that we should include in the main study?	Please note the suggestions here:	

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**F. For the Enumerator**

	Questions	Codes / Units	Responses
31	How would you rate the respondent during the interview?	1=Cooperative 2=Neutral 3=Not cooperative	
32	Were you able to speak alone with the Principal for the entire interview?	1=Yes, please go to question 33 2=No	
32 a	If no, who else was present during the interview? [Multiple responses possible]	1=School accountant 2=Education manager 3=teacher 4=Soum/district official 5=Bank accountant 6=Aimag/city official 7=Social worker 8=Other (Please specify)	
32 b	If no, for how long were other people present during the interview?	Please list time present in minutes, by code	
33	Were there any other special conditions that may have affected the interview?	1=Yes (Please specify) 2=No	
34	How long did the interview take?	Please insert total time in minutes	...minutes